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04/01/2005

John David Porter

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EXAMINER

BOKHARI, SYED M

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/510,406	<b>Applicant(s)</b> PORTER ET AL.	
	<b>Examiner</b> SYED BOKHARI	<b>Art Unit</b> 2616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 01/31/2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>11/29/2004 and 10/05/2004</u> .                               | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Response to Amendment*

1. Applicant filed on January 31<sup>st</sup>, 2008 under has been entered. Claims 1 and 6 have been amended. Claims 1-20 are pending in the application.

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation

under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 1 and 6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matusевич (USP 6,119,016) in view of Linder et al. (US 2002/0051509 A1).

Matusевич discloses a wireless communication system for synchronizing the timing signals in the base stations with the following features: regarding claim 1, a packet switched communications system for transmitting synchronous data from a source module to a terminating module (Fig. 1, wireless telecommunication system, see "the communication between the wireless terminals and the base station is possible because they are synchronized" recited in column 1 lines 66-67 and column 2 lines 1-6 in the background of the invention), over a network, the network comprising plurality of modules interconnected via transmission links (Fig. 1, wireless telecommunication system, see "the system provides wireless communications service to a number of wireless terminals" recited in column 1 lines 13-17 in the background of the invention), each module in the network having a clock of nominal frequency (Fig.5, wireless telecommunication service to one wireless terminals, see "the reference timing signal can be provided to each base station with a local timing source" recited in column 4 lines 55-53), that is not synchronized with the clocks of the other module(s) in the

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network (Fig.5, wireless telecommunication service to one wireless terminals, see “each reference timing signal has the same frequency but it is not necessary that they be synchronized” recited in column 4 line 67 and column 5 lines 1-3) having a single input and one or more outputs of each module (Fig. 6, salient components of base station, see “phase lock loop 601 receives two inputs the timing signal from phase loop locked 601 is fed to the transmitter 602 ” recited in column 5 lines 43-57), means for transmitting the accumulated phase difference to the terminating module (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3) and means for utilizing the received accumulated phase difference at the terminating module to lock the output clock at the terminating module to the input clock at the source module (Fig. 7, flowchart of the operations, see “the timing signals, as described, used by the wireless terminals for synchronizing their own clocks to that of the base station” recited in column 6 lines 34-45); regarding claim 6, a method of recovering clock signals in a packet switched communications network (Fig. 1, wireless telecommunication system, see “the base station accomplishes this by phase alignment the reference timing signal to a feedback signal” recited in column 3 lines 47-55), the network comprising a plurality of modules interconnected via transmission links (Fig. 1, wireless telecommunication system, see “the system provides wireless communications service to a number of wireless terminals” recited in column 1 lines 13-17 in the background of the invention), each module having a clock of nominal frequency (Fig.5,

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wireless telecommunication service to one wireless terminals, see “the reference timing signal can be provided to each base station with a local timing source” recited in column 4 lines 55-53), that is not synchronized with the clocks of the other module(s) (Fig.5, wireless telecommunication service to one wireless terminals, see “each reference timing signal has the same frequency but it is not necessary that they be synchronized” recited in column 4 line 67 and column 5 lines 1-3), each module having a single input and one or more outputs (Fig. 6, salient components of base station, see “phase lock loop 601 receives two inputs the timing signal from phase loop locked 601 is fed to the transmitter 602 ” recited in column 5 lines 43-57), transmitting the determined accumulated phase difference to the terminating module (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3), and utilizing the received accumulated phase difference at the terminating network to recover the clock at the source module of the network (Fig. 7, flowchart of the operations, see “the timing signals, as described, used by the wireless terminals for synchronizing their own clocks to that of the base station” recited in column 6 lines 34-45);

Matusevich does not disclose the following features: regarding claim 1, where all the outputs are phase locked to each other but are not synchronized with respect to the input and means for determining an accumulated phase difference between an input clock and the output clock of each module; regarding claim 6, wherein all the outputs of

each module are phase locked to each other but are not synchronized with the input and the method comprising the steps of determining the accumulated phase difference between the input clock and the output clock at each module.

Linder et al. disclose a communication system for phase locked loop with a phase detector , a loop filter and an oscillator with the following features: regarding claim 1, where all the outputs are phase locked to each other but are not synchronized with respect to the input (Fig. 1, phase locked loop, see “the phase detector has input of  $f_{in}$  and a signal  $f_{out}$ ” recited in paragraph 0025 lines 1-18), means for determining the accumulated phase difference between the input clock and the output clock of each module (Fig. 2, synchronizing communication devices, see “measures of a phase difference between an input signal and an output signal of the phase locked loop” recited in paragraph 0010 lines 1-7); regarding claim 6, where all the outputs are phase locked to each other but are not synchronized with respect to the input (Fig. 1, phase locked loop, see “the phase detector has input of  $f_{in}$  and a signal  $f_{out}$ ” recited in paragraph 0025 lines 1-18), the method comprising the steps of determining the accumulated phase difference between the input clock and the output clock at each module (Fig. 2, synchronizing communication devices, see “measures of a phase difference between an input signal and an output signal of the phase locked loop” recited in paragraph 0010 lines 1-7).

It would have been obvious to one of the ordinary skill in the art at the time of invention to modify the system of Matusevich by using the features, as taught by Linder et al., in order to provide all the outputs are phase locked to each other but are not

synchronized with respect to the input and means for determining the accumulated phase difference between the input clock and the output clock of each module. The motivation of using this function is to enhance the system in a cost effective manner.

5. Claims 3-4, 8, 11-12 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matusевич (USP 6,119,016) in view of Linder et al. (US 2002/0051509 A1) as applied to claims 1 and 6 above, and further in view of Hayashi et al. (USP 5,062,124).

Matusевич and Linder et al. regarding disclose the claimed limitations as described in paragraph 4 above. Matusевич and Linder et al. do not disclose the following features: regarding claim 3, the determining means comprises a first counter for counting clock cycles of the input signal clock, a second counter for counting cycles of the output signal clock, and means for simultaneously reading the counts of the first and second counters; regarding claim 4, comprising a latch for storing the count of the counter counting the higher frequency clock and the count being clocked into the latch by an edge of the lower frequency clock; regarding claim 11, comprises the steps of applying the input clock of a module to a first counter within the module, the determining means comprises a first counter for counting clock cycles of the input signal clock, a second counter for counting cycles of the output signal clock and means for simultaneously reading the counts of the first and second counters; regarding claim 12,



comprising a latch for storing the count of the counter counting the higher frequency clock and the count being clocked into the latch by an edge of the lower frequency clock; regarding claim 16, comprises the steps of applying the input clock of a module to a first counter within the module, applying the output clock of the module to a second counter within the module and reading the counts of the first and second counters simultaneously at given intervals.

Hayashi et al. disclose a communications system for network synchronization with the following features: regarding claim 3, the determining means comprises a first counter for counting clock cycles of the input signal clock (Fig. 5, communication device, see "counter 43" recited in column 7 lines 10-16), a second counter for counting cycles of the output signal clock (Fig. 5, communication device, see "counter 43" recited in column 7 lines 17-25), and means for simultaneously reading the counts of the first and second counters (Fig. 5, communication devices 11 and 12, see "selector 102" recited in column 8 lines 17-22 and lines 39-43); regarding claim 4, comprising a latch for storing the count of the counter counting the higher frequency clock (Fig.4, node distribution, see "latch for store" recited in column 6 lines 30-33) and the count being clocked into the latch by an edge of the lower frequency clock (Fig.4, node distribution, see "latch for store" recited in column 6 lines 36-39); regarding claim 8, applying the input clock of a module to a first counter within the module (Fig. 5, communication device, see "counter 43" recited in column 7 lines 10-16), applying the output clock of the module to a second counter within the module (Fig. 5, communication device, see "counter 43" recited in column 7 lines 17-25) and reading the counts of the first and

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second counters simultaneously at given intervals (Fig. 5, communication devices 11 and 12, see “selector 102” recited in column 8 lines 17-22 and lines 39-43); regarding claim 11, comprises the steps of applying the input clock of a module to a first counter within the module (Fig. 5, communication device, see “counter 43” recited in column 7 lines 10-16), the determining means comprises a first counter for counting clock cycles of the input signal clock (Fig. 5, communication device, see “counter 43” recited in column 7 lines 10-16), a second counter for counting cycles of the output signal clock (Fig. 5, communication device, see “counter 43” recited in column 7 lines 17-25) and means for simultaneously reading the counts of the first and second counters (Fig. 5, communication devices 11 and 12, see “selector 102” recited in column 8 lines 17-22 and lines 39-43); regarding claim 12, comprising a latch for storing the count of the counter counting the higher frequency clock (Fig.4, node distribution, see “latch for store” recited in column 6 lines 30-33) and the count being clocked into the latch by an edge of the lower frequency clock (Fig.4, node distribution, see “latch for store” recited in column 6 lines 36-39); regarding claim 16, comprises the steps of applying the input clock of a module to a first counter within the module (Fig. 5, communication device, see “counter 43” recited in column 7 lines 10-16), applying the output clock of the module to a second counter within the module (Fig. 5, communication device, see “counter 43” recited in column 7 lines 17-25) and reading the counts of the first and second counters simultaneously at given intervals (Fig. 5, communication devices 11 and 12, see “selector 102” recited in column 8 lines 17-22 and lines 39-43).

It would have been obvious to one of the ordinary skill in the art at the time of invention to modify the system of Matusevich with Linder et al. by using the features, as taught by Hayashi et al., in order to provide the means comprises a first counter for counting clock cycles of the input signal clock, a second counter for counting cycles of the output signal clock, and means for simultaneously reading the counts of the first and second counters, a latch for storing the count of the counter counting the higher frequency clock and the count being clocked into the latch by an edge of the lower frequency clock, the steps of applying the input clock of a module to a first counter within the module, the determining means comprises a first counter for counting clock cycles of the input signal clock, a second counter for counting cycles of the output signal clock and means for simultaneously reading the counts of the first and second counters, a latch for storing the count of the counter counting the higher frequency clock and the count being clocked into the latch by an edge of the lower frequency clock, the steps of applying the input clock of a module to a first counter within the module, applying the output clock of the module to a second counter within the module and reading the counts of the first and second counters simultaneously at given intervals. The motivation of using these functions is to enhance the system in a cost effective manner.

6. Claims 2 and 7 are rejected 5 U.S.C. 103(a) as being unpatentable over Matusevich (USP 6,119,016) in view of Linder et al. (US 2002/0051509 A1) and Hayashi et al. (USP 5,062,124) and in view of as applied to claims 1 and 6 above, and further in view of Eng et al. (USP 6,791,987).

Matusevich, Linder et al. and Hayashi et al. disclose the claimed limitations as described in paragraph 4 above. Matusevich discloses the following features: regarding claim 2, in which the accumulated phase difference is transmitted at regular intervals (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3);

Hayashi et al. disclose the following features: regarding claim 7, the accumulated phase difference is transmitted (Fig. 1, distributed communication system, see “phase difference information” recited in column 4 lines 51-58);

Matusevich and Linder et al. do not disclose the following features: regarding claim 2, in an ATM cell and regarding claim 7, the network uses asynchronous transfer mode (ATM) and in an ATM cell.

Eng et al. discloses a communication system for synchronization over asynchronous interface with the following features: regarding claim 2, in an ATM cell (Fig. 3, asynchronous network, see “ATM cell is transmitted” recited in column 4 lines 35-39) and in an ATM cell (Fig. 3, asynchronous network, see “ATM cell is transmitted” recited in column 4 lines 35-39) and regarding claim 7, the network uses asynchronous transfer mode (ATM) and in an ATM cell (Fig. 3, asynchronous network, see “ATM cell is transmitted” recited in column 4 lines 35-39) and in an ATM cell (Fig. 3, asynchronous network, see “ATM cell is transmitted” recited in column 4 lines 35-39).

It would have been obvious to one of the ordinary skill in the art at the time of invention to modify the system of Matusевич with Linder et al. and Hayashi et al. by using the features, as taught by Eng et al., in order to provide the network uses asynchronous transfer mode (ATM) and in an ATM cell. The motivation of using this function is to enhance the system in a cost effective manner.

7. Claim 5, 9-10, 13-15 and 17-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matusевич (USP 6,119,016) in view of Linder et al. (US 2002/0051509 A1) and in view of Hayashi et al. as applied to claims 1 and 8 above, and further in view of Rokugo (USP 5,864,248).

Matusевич, Linder et al. and Hayashi et al. disclose the claimed limitations as describes the in paragraph 4 and 5 above. Matusевич also disclose the following features: regarding claim 5, the means for transmitting the phase difference comprises (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3); regarding claim 13, the means for transmitting the phase difference comprises (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3); regarding

claim 14, the means for transmitting the phase difference comprises (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3) and regarding claim 15, the means for transmitting the phase difference comprises (Fig. 6, salient components of base station, see “the receiver antenna 606 receives the timing signals and feeds the signal to the wireless receivers 604-1 through 604-n outputs a timing signal from n nearby base stations to gateway 603” recited in column 5 lines 62-76 and column 6 lines 1-3).

Matusevich, Linder et al. and Hayashi et al. do not disclose the following features: regarding claim 5, means for assembling an ATM cell containing the counts of the first and second counters; regarding claim 9, in which step d) comprises transmitting the counts read in step f; regarding claim 10, or in which the counters are read on a transition of the lower frequency clock; regarding claim 13, means for assembling an ATM cell containing the counts of the first and second counters; regarding claim 14, means for assembling an ATM cell containing the counts of the first and second counters and regarding claim 15, means for assembling an ATM cell containing the counts of the first and second counters; regarding claim 17, in which step d) comprises transmitting the counts read in step f); regarding claim 18, in which the counters are read on a transition of the lower frequency clock; regarding claim 19, in which the counters are read on a transition of the lower frequency clock and regarding claim 20, in which the counters are read on a transition of the lower frequency clock.

Rokugo discloses phase-locked loop circuit (PLL) for producing clock signals synchronized with transmitter in receiver with the following features: regarding claim 5, means for assembling an ATM cell containing the counts of the first and second counters (Fig. 9, phase synchronization system, see "time data 93" recited in column 1 lines 40-49); regarding claim 9, in which step d) comprises transmitting the counts read in step f (Fig. 1, phase locked loop circuit, see "count value output from transmitter" recited in column 2 lines 22-40); regarding claim 10, or in which the counters are read on a transition of the lower frequency clock (Fig. 8, frequency response, see "data count value" recited in column 8, lines 46-52); regarding claim 13, means for assembling an ATM cell containing the counts of the first and second counters (Fig. 9, phase synchronization system, see "time data 93" recited in column 1 lines 40-49); regarding claim 14, means for assembling an ATM cell containing the counts of the first and second counters (Fig. 9, phase synchronization system, see "time data 93" recited in column 1 lines 40-49) and regarding claim 15, means for assembling an ATM cell containing the counts of the first and second counters (Fig. 9, phase synchronization system, see "time data 93" recited in column 1 lines 40-49) regarding claim 17, in which step d) comprises transmitting the counts read in step f) (Fig. 1, phase locked loop circuit, see "count value output from transmitter" recited in column 2 lines 22-40); regarding claim 18, in which the counters are read on a transition of the lower frequency clock (Fig. 1, phase locked loop circuit, see "count value output from transmitter" recited in column 2 lines 22-40); regarding claim 19, in which the counters are read on a transition of the lower frequency clock (Fig. 1, phase locked loop circuit, see "count

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value output from transmitter” recited in column 2 lines 22-40) and regarding claim 20, in which the counters are read on a transition of the lower frequency clock (Fig. 1, phase locked loop circuit, see “count value output from transmitter” recited in column 2 lines 22-40).

It would have been obvious to one of the ordinary skill in the art at the time of invention to modify the system of Matusevich with Linder et al. and Hayashi et al. by using the features, as taught by Eng et al., in order to provide means for assembling an ATM cell containing the counts of the first and second counters, comprises transmitting the counts read in step f, the counters are read on a transition of the lower frequency clock, means for assembling an ATM cell containing the counts of the first and second counters, means for assembling an ATM cell containing the counts of the first and second counters, means for assembling an ATM cell containing the counts of the first and second counters, regarding claim 17, in which step d) comprises transmitting the counts read in step f), the counters are read on a transition of the lower frequency clock. The motivation of using these functions is to enhance the system in a cost effective manner.

### ***Response to Arguments***

8. Applicant's arguments with respect to claims 1-20 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***



Any inquiry concerning this communication or earlier communications from the examiner should be directed to SYED BOKHARI whose telephone number is (571)270-3115. The examiner can normally be reached on Monday through Friday 8:00-17:00 Hrs..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kwang B. Yao can be reached on (571) 272-3182. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Syed Bokhari/

Examiner, Art Unit 2616

4/27/2008

/Kwang B. Yao/

Supervisory Patent Examiner, Art Unit 2616